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# Effect of the concentration polarization on the fouling driving force of UF membranes

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## ABSTRACT

The main objective of this work was to investigate the effect of foulant concentration prevailing at the membrane surface on the rate of flux decline. The significant role of foulant membrane concentration was highlighted by analysis of the well-known filtration blocking laws. All blocking mechanisms were found to predict that the initial fouling rate depends on the product of feed concentration and initial permeate flow rate. Humic acid fouling data were analyzed to provide values of the fouling rate  $dJ_v/dt$  vs. membrane surface concentration  $C_m$ . The data of all runs, covering a rather broad range of conditions, fell within a narrow band thus supporting the contention that foulant concentration on the membrane is one of the main parameters governing the fouling rate.

Keywords: UF membrane; Fouling; Concentration polarization; Critical flux; Humic acid

#### 1. Introduction

Membranes play a major role in industrial practice due to their conspicuous advantages relative to other separation techniques. They are usefully applied in processes involving concentration, purification and solute separations [1]. The main difficulty encountered in membrane operation is related to fouling and concentration polarization [CP] problems [2]. Membrane fouling involves accumulation of a deposit on the membrane surface and inside membrane pores. Fouling may take several forms: adsorption, pore blockage, deposit or gel formation induced by the CP effect. The flow resistances imposed by the deposits lead to a decline in permeate flux [3].

The concentration polarization phenomenon is commonly analyzed by the film theory. According to this theory, the enhanced solute concentration  $C_m$  on the membrane is related to the bulk solution concentration  $C_{\nu}$ , the permeate concentration  $C_{p}$  and the concentration polarization (CP) modulus by [3]:

$$\frac{C_m - C_p}{C_b - C_v} = CP = e^{J_v/k} \tag{1}$$

where  $J_v$  is the flux and k is the mass transfer coefficient which is governed by the flow hydrodynamics [1]. Reduction of the CP level is known to reduce the fouling severity [4].

The "critical flux" (CF) concept [2], first suggested by Field and Howell et al. [5], stipulates the existence of a flux level below which no significant fouling is anticipated. The critical flux phenomenon has been mainly observed in micro-filtration (MF) systems, operated in cross flow with a variety of colloidal particles such as proteins, activated sludge and bacteria [5–12]. One of the techniques used to determine the critical flux is to perform constant permeate flux runs and to monitor the pressure increase

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required to compensate for an induced fouling resistance. The CF is determined by noting the flux below which there is no pressure increase and the transmembrane pressure remains constant with time [13].

The mechanisms inhibiting fouling at the CF threshold are not well understood. Studies show that the magnitude of the CF level is influenced by several parameters. In general, parameters affecting the CF usually also influence the concentration polarization. Cross flow velocity and particle concentration seem to be the main parameters affecting the CF level. Increase of flow velocity augments the CF while increase of the suspension concentration lowers the CF [5–10, 14]. The lack of sufficient understanding of the critical flux phenomenon has been recently expressed by the statement "since fouling occurred irrespective of the actual flux, the critical flux concept stating that below a critical flux no fouling occurs is not a suitable approach to control biofouling of spiral wound, reverse osmosis and nanofiltration membranes" [15].

The literature referring to the critical flux concept give insufficient attention to the nature of the driving forces guiding fouling processes. It is reasonable to assume that one of the major parameters affecting the fouling rate is the foulant concentration  $C_m$  prevailing on the membrane surface as expressed by Eq. (1).

The need to consider the driving force prevailing on the membrane is also justified by our theoretical analysis of the well-known filtration blocking laws. Table 1 presents theoretical analyses of fouling rates according to the four blocking laws. All blocking mechanisms are seen to predict that the initial fouling rate depends on the product of feed concentration and initial permeate flow rate squared. It is thus very likely that increase in feed concentration aggravates fouling and therefore reduces the critical flux level, as was observed in several studies [4,14,16].

The main objective of this work was to investigate the effect of the foulant concentration prevailing at the membrane surface on the rate of flux decline in a UF system.

## 2. Experimental

## 2.1. Experimental system

Experiments were conducted in a continuous flow, tubular system (12.5 mm in diameter, 600 mm long) shown in Fig. 1. Two membranes were held in series inside an ITT PCI LTD stainless steel membrane housing, type MIC-RO 240. The membrane material was polyvinylidene

#### Table 1

Analyses of the driving force for fouling according to various filtration blocking mechanisms

п	Fouling mechanism	Flow rate	Fouling rate	Initial Fouling rate
0	Cake filtration	$Q = \frac{dV}{dt} = \frac{Q_o}{\sqrt{1 + \frac{2\alpha Q_o}{A_o R_{mo}} \cdot ct}}$ $Q = \text{filtrate flow rate, } Q_0 = \text{initial filtration flow rate; } V = \text{filtrate volume, } t = \text{time}$	$-\frac{dQ}{dt} = \frac{cQ_o^2 \cdot \frac{\alpha}{A_o R_{mo}}}{\left[1 + \frac{2\alpha Q_o}{A_o R_{mo}} \cdot ct\right]^{1.5}}$	$-\left(\frac{dQ}{dt}\right)_{t=0} = \frac{\alpha}{A_o R_{mo}} \cdot cQ_o^2$ c = deposit vol. per unit filtration volume; $A_0 = \text{total membrane area};$ $\alpha = \text{specific cake resistance}; R_{mo} =$ membrane flow resistance
1	Intermediate law	$Q = \frac{dV}{dt} = \frac{Q_o}{\left[1 + \frac{Q_o}{S_o} \cdot \sigma t\right]}$	$-\frac{dQ}{dt} = \frac{\sigma Q_o^2 \cdot \frac{1}{S_o}}{\left[1 + \frac{Q_o}{S_o} \cdot \sigma t\right]^2}$	$-\left(\frac{dQ}{dt}\right)_{t=0} = \frac{1}{S_o} \cdot \sigma Q_o^2$ $\sigma$ = blocked area per unit filtration vol- ume; $S_o$ = initial pore covered surface
1.5	Standard law	$Q = \frac{dV}{dt} = \frac{Q_o}{\left[1 + \frac{Q_o t}{V_{\text{max}}}\right]^2}$	$-\frac{dQ}{dt} = \frac{cQ_o^2 \cdot \frac{2}{v_o N_o A_o}}{\left[1 + \frac{Q_o}{v_o N_o A_o} \cdot ct\right]^3}$	$-\left(\frac{dQ}{dt}\right)_{t=0} = \frac{2}{v_o N_o A_o} \cdot cQ_o^2$ $N_o = \text{no. of capillary pores per unit membrane area; } vo = \text{initial capillary pore volume}$
2	Complete blocking	$Q = \frac{dV}{dt} = Q_o \cdot \exp\left[-\frac{Q_o t}{V_{\max}}\right]$	$-\frac{dQ}{dt} = \frac{n_o Q_o^2 \cdot \frac{1}{N_o A_o}}{\exp\left[\frac{Q_o}{N_o A_o} \cdot n_o t\right]}$	$-\left(\frac{dQ}{dt}\right)_{t=0} = n_o \cdot \frac{Q_o^2}{A_o N_o}$ $n_o = \text{no. of particles per unit filtration volume}$

fluoride and the membrane MWCO was 20 kDa. The initial permeability of a membrane varied in the range of 119–301 L/h·bar·m<sup>2</sup> depending on the membrane age. The experimental system was operated in a recycle mode (both permeate and concentrate returned to the feed vessel). The duration of each run was 1–2 h.

# 2.2. Feed solution

The foulant used was commercial humic acid. Humic substances are heterogeneous mixtures of degradation products from plant and animal residues that can dissolve in natural surface waters [17]. Humic acid (HA) is the major component of natural organic matter (NOM) found in both surface and well waters.

Humic acid solutions were prepared in the 15 L feed tank by dissolving in distilled water pre-weighed amounts of powdered humic acid, supplied by Sigma-Aldrich Chemie GmbH, Germany. The HA solutions were dosed with 0.04% (w/w) sodium azide so as to prevent microbial fouling. The solutions were stirred for 30 min in order to gain stable particle sizes of 250–350 nm. The final feed solution was set to a pH of 7 using dilute NaOH and HCl reagents.

## 2.3. Run procedure

The fouling experiments were carried out at constant pressure. The permeate flux decline generated by the fouling of the membrane was monitored by periodic measurements of flux. After each experiment, the membrane was cleaned by recycling a soap solution containing NaOH. Humic acid passage through the membrane was relatively constant and varied in the range of 25–35%.

## 2.4. Method of analysis

The concentration polarization level in each run was evaluated from Eq. (1). Flow conditions in all runs were in the turbulent region and the mass transfer coefficient k was evaluated by the Sherwood correlation [1]:

$$Sh = \frac{k \cdot d}{D} = 0.023 \cdot Re^{0.875} \cdot Sc^{0.25}$$
(2)

where Sh is the Sherwood number, Re is the Reynolds number, Sc is the Schmidt number, *d* is the tube diameter and *D* is the solute diffusion coefficient. A literature search indicates that the most reliable value for the HA diffusion coefficient at room temperature is  $3 \times 10^{-6}$  cm<sup>2</sup>/s [18].

Eq. (1) shows that increase of the flux level augments the concentration polarization modulus leading to enhancement of the concentration on the membrane surface. Thus, increase of the flux level can be expected to increase the intensity of flux decline.

Fouling rates were determined by fitting the flux decline data to the correlating equation developed by Probstein et al. [19]:

$$(J_o - J_t) = (J_o - J_t^*)(1 - e^{-t/\tau})$$
(3)

where  $J_{o}$ ,  $J_{t}$  and  $J_{t}^{*}$  are the initial, instantaneous and asymptotic fluxes, respectively and  $\tau$  is a characteristic of the film build-up time. The good fit of the fouling data to this equation minimized the error involved in differentiating the experimental flux decline curve.



Fig. 1. Continuous flow UF system (TI - temperature indicator, PI - pressure indicator, LI - level indicator).

## 3. Results and discussion

The operating conditions of the experimental fouling runs (Table 2) covered a rather wide range: cross flow velocities of 50–120 cm/s, bulk HA concentrations of 5–50 ppm and initial fluxes of  $2.5 \times 10^{-3}$ – $7.1 \times 10^{-3}$  cm/s (90–260 lmh). Fig. 2 illustrates a typical flux decline curve measured in run HA8b. Fig. 3 displays the good fit obtained in plotting the flux decline data according to the linear relationship predicted by Eq. (3).

Fig. 4 shows rates of flux decline extracted from all runs vs. the humic acid concentration prevailing on the membrane surface [Eq. (1)]. As may be anticipated, there is a consistent trend of an increase in the flux decline rate as the HA membrane surface concentration is enhanced. Data points taken from experiments covering a rather wide range of conditions are seen to fall within a narrow band. Similar trends were observed in analysis of some flux decline data reported by Rubia et al. [20] who filtered HA solutions through a tubular ceramic membrane. An interesting observation is that measurements observed in this study indicate that considerably higher levels of the CP modulus, in the range of 5–50, are encountered in UF systems, in contrast to the very low values in RO and NF systems. This observation which has an impact on fouling propensity is not sufficiently emphasized in the UF literature.

# 4. Concluding remarks

The main contributions of this study lie in highlighting the role of foulant concentration on the membrane surface as one of the important fouling driving force parameters and in disclosing the possibility of sustaining high concentration polarization levels in UF operations. Extension of these results can lead to improved techniques for reliable correlation of fouling data.



Fig. 2. Flux decline as a function of time (Run HA8b).

Table 2 Initial operating conditions

Run No.	Feed conc. (ppm)	Mass transfer coeff. (cm/s)	Initial permeate flux (cm/s)	Flow velocity (cm/s)	Initial CP level	C <sub>m</sub> (ppm)
HA2	20	9.79E-04	2.76E-03	56.5	16.8	240.5
HA7	50	9.02E-04	2.53E-03	51.5	16.4	590.6
HA8	10	1.48E-03	6.52E-03	90.6	82.0	577.3
HA8b	10	1.48E-03	7.15E-03	90.6	125.9	884.0
HA9	20	1.77E-03	6.07E-03	111.5	30.6	435.0
HA9b	20	1.77E-03	7.07E-03	111.5	53.8	758.6
HA10	5	1.89E-03	6.64E-03	119.8	33.7	119.3
HA11	20	1.72E-03	2.97E-03	107.6	5.6	84.9
HA13	15	1.32E-03	2.95E-03	79.3	9.4	103.0
HA14	30	8.88E-04	2.95E-03	50.5	27.7	590.4



Fig. 3. Linear correlation of Run HA8b data according to Eq. (3).



Fig. 4. Rate of flux decline vs. HA membrane surface concentration.

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