



## Identifying oxidation intermediates formed during ozone–UV of fulvic acid

Xin Zhong<sup>a</sup>, Chongwei Cui<sup>a,\*</sup>, Shuili Yu<sup>b</sup>

<sup>a</sup>School of Municipal and Environmental Engineering, Harbin Institute of Technology, Harbin 150090, China, Tel. +86 18845181188; email: 18845181188@126.com (C. Cui), Tel. +86 13182467701; email: zhongxinmagic@163.com (X. Zhong)

<sup>b</sup>School of Environmental Science and Engineering, Tongji University, Shanghai 200433, China

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### ABSTRACT

The purpose of this study was to determine the different classes and yields of oxidation intermediates, compared ozone (O<sub>3</sub>), ultraviolet (UV) irradiation and the combined O<sub>3</sub>–UV advanced oxidation process, as well as investigated on the effects of irradiation time, UV intensity, O<sub>3</sub> dosage on the removal of fulvic acid (FA), which is regarded as the main disinfection by-products (DBPs) precursor. Based on that, the knowledge gap of DBPs generated was made up. The results showed that by UV irradiation and O<sub>3</sub> oxidation, FA broke down into smaller molecules which were more hydrophilic, namely formaldehyde, acetaldehyde, propionaldehyde, butyraldehyde, glyoxal, methylglyoxal, formic, fumaric, benzoic, protocatechuic and 3-hydroxybenzoic acids. Meanwhile, unsaturated conjugated double bonds in the structure of FA were destroyed, which lead to UV<sub>254</sub> decrease. Due to the synergistic effect of O<sub>3</sub>–UV, dissolved organic carbon and UV<sub>254</sub> significantly decreased and remained stable after the reaction of 10 min, indicating that O<sub>3</sub>–UV system had a stronger potential of mineralization and lower selectivity. Besides, the kinds and concentration levels of the intermediates were obviously reduced with increase in light intensity. Furthermore, in O<sub>3</sub>–UV system with high O<sub>3</sub> dosage, fumaric and benzoic acid concentration gradually decreased, which were relatively inert to O<sub>3</sub>. Due to the fact that the high concentration level of •OH radical that could mineralize some organics which could not be mineralized by O<sub>3</sub>.

*Keywords:* UV; Ozone; Ozone–UV; Fulvic acid; DBPs

### 1. Introduction

Natural organic matter (NOM) exists in all surface, groundwater and soil water. NOM is composed by a series of compounds, ranging from aliphatic to aromatic, also having all sorts of chemical compositions and molecular sizes. The content of NOM in water varies with climate and the hydrological situation, as well as other environmental factors [1]. NOM is divided into hydrophobic and hydrophilic components. Hydrophobic NOM is rich in aromatic carbon, conjugated double bonds and phenolic structures. The largest component is usually hydrophobic acids, which constitutes approximately 50% of the total organic carbon (TOC) in water [2]. Hydrophobic acids can be expressed as humic

substances containing humic acid (HA) and fulvic acid (FA). However, hydrophilic NOM contains more aliphatic carbon and nitrogenous compounds.

Currently, chlorine, chloramine, chlorine dioxide and ozone (O<sub>3</sub>) are the most common disinfectants, and each of them generates its own unique disinfection by-products (DBPs) [3]. DBPs have been attracted much attention in drinking water treatment plants (DWTPs) during the past decades. More than 700 DBPs have been corroborated, among which trihalomethanes (THMs) and haloacetic acids (HAAs) are the most common and the highest concentrations in drinking waters worldwide [3]. NOM is regarded as the main DBPs precursor in general, particularly for the hydrophobic NOM with high aromatic carbon content, but hydrophilic or low molar mass NOM also has an important influence on DBPs formation [4,5]. To avoid DBPs formation, two methods are generally applied in DWTPs. One is a non-chlorine

\* Corresponding author.

disinfection process applied as primary disinfectant and chlorine addition as secondary disinfectant. The other is the reducing NOM content in the raw waters before disinfection using physical or chemical processes [6]. Ozonation followed by biological filtration is the most common treatment means applied to remove NOM. Recalcitrant organic compounds are decomposed to smaller molecules which are more hydrophilic and biodegradable through the direct reaction with  $O_3$  and/or indirect reaction with hydroxyl radical ( $\bullet OH$ ) which is generated from  $O_3$  decomposition. Although ozonation followed by biological filtration has the ability to remove NOM, this process has high variation in removal efficiency ranging from 10% to 75% [7–9]. This variation attributes to different reaction selectivity between  $O_3$  with organics.

In addition, advanced oxidation processes (AOPs) are an alternative technology, which can be used to reduce DBPs formation [6,10]. Ozonation is one of the AOPs, which involves  $\bullet OH$  formation and  $\bullet OH$  as a highly reactive and non-selective oxidant to destroy compounds that cannot be oxidized by conventional oxidants. Through the combined catalyst-oxidant, to generate more  $\bullet OH$ , such as ozone-ultraviolet ( $O_3$ -UV), hydrogen peroxide-ultraviolet ( $H_2O_2$ -UV) and ozone-hydrogen peroxide ( $O_3$ - $H_2O_2$ ) [11]. Among these AOPs,  $O_3$ -UV generates the highest yield of  $\bullet OH$  per oxidants [11]. Besides, UV disinfection is regarded as a low-cost and easy-to-operate system in DWTPs [12]. However,  $O_3$  and UV cannot maintain throughout distribution system, they cannot guarantee the biological safety of tap water. Thus, chlorine addition carries out secondary disinfectant before water leaving the factory. Moreover, many researchers have found that UV can change dissolved organic matter (DOM) structure and increase biodegradability [13–15]. Under strong oxidation conditions, higher molecular weight compounds are decomposed into smaller and more biodegradable carbonyl compounds, such as aldehydes and carboxylic acids. Such changes in the chemical properties and structures of NOM lead to not only TOC concentrations declining but also DBPs precursor changing, and then influencing DBPs formation from subsequent chlorination [16–18].

There is incomplete information about NOM oxidation intermediates. The main identified oxidation intermediates are formic, acetic and oxalic acids as well as several ketoacids [19]. As far as aromatic acids, they are rarely identified in water as well as their influence on DBPs, owing to lack of proper analytical methods. Aromatic acids should be transformed into low polar and stable derivatives apply to gas chromatograph (GC) detection. In order to avoid the complicated derivatization procedure, our team employed solid phase extraction-ultra-high performance liquid chromatography (SPE-UPLC) to detect aromatic acids. Moreover, our previous studies showed that carbonyl compounds were widespread in raw water and the each process unit of DWTPs using  $O_3$  disinfectant. FA is an appropriate model for predicting and evaluating oxidation intermediates. On the one hand, FA is ubiquitous presence in the terrestrial environment. On the other hand, FA was detected in the treated water by sand filtration in DWTPs [20]. Most model compounds studies are for the purpose of DBPs formation. Few studies have examined oxidation intermediates formation.

Therefore, the objective of this study involved, namely: (1) applied SPE-UPLC method to determine the different

classes and yields of oxidation intermediates, (2) compared  $O_3$ , UV and  $O_3$ -UV for FA removal and (3) investigated on the effects of irradiation time, UV intensity and  $O_3$  dosage of  $O_3$ -UV process on the mineralization of FA, as well as DBPs formation following chlorination.

## 2. Materials and methods

### 2.1. Materials

FA was standard chemical, which was obtained from Sigma-Aldrich (Shanghai, China). Its molecular formula is  $C_{14}H_{12}O_8$  and molecular weight is 308.24. The analytical standard containing 15 carbonyl compounds (formaldehyde, acetaldehyde, propanal, butanal, pentanal, hexanal, cyclohexanone, crotonaldehyde, heptanal, octanal, benzaldehyde, nonanal, decanal, glyoxal and methylglyoxal) and the derivatization agent *O*-(2,3,4,5,6-pentafluoro-benzyl) hydroxylamine were purchased from AccuStandard (New Haven, USA). High performance liquid chromatography grade *n*-hexane Anpel (Shanghai, China) was used as a solvent for the liquid-liquid extraction. Organic free water was provided by the Synergy UV-Ultrapur Water System (Millipore, Molsheim, France).

Standards of the carboxylic acids (>95% purity), formic, oxalic, fumaric, protocatechuic, 3-hydroxybenzoic, benzoic acid, chloroform and standard of HAAs were purchased from J&K (Beijing, China). Stock solutions of the individual acids (10 g/L) were prepared in purified water. All these solutions were stored at 4°C.

Potassium dihydrogen phosphate, hydrochloric acid, sodium hydroxide, sodium hypochlorite and orthophosphoric acid were analytical reagent and supplied by Shanghai (Shanghai, China). LiChrolut EN (particle size 40–120  $\mu m$ ) was purchased from Merck (Darmstadt, Germany). Silica-reverse phase sorbent with octadecyl functional groups (Supelclean ENVI-18) was supplied from Supelco (Bellefonte, PA, USA).

### 2.2. Experimental procedures

A schematic of the experimental program was shown in Fig. 1. Stock solution of FA was prepared by dissolving 1 g FA in 1,000 mL purified water mixing for 24 h. Working solution at 20 mg/L concentration was obtained by water dilution.

All ozonation of FA experiments were operated on 500 mL SIMAX bottles fitted with a magnetic stirring bar.  $O_3$  was generated from pure oxygen ( $\geq 99.2\%$  purity) by COM-AD-01  $O_3$  generator (4 g/h, ANSEROS, Germany) and transferred immediately into the ultrapure water using a diffuser placed at the bottom of the reactor. The  $O_3$  concentration of the stock solution (20 mg/L) was determined using the direct UV absorbance method at 258 nm with a molar absorptivity of  $2,950 M^{-1} cm^{-1}$  [21]. The ozonation reactions were terminated by sodium nitrite (J&K, Beijing, China).

The UV lamps (emitting light at a wavelength of 254 nm, 5 W, 10 W and 15 W; Philips, Poland) were used to deliver UV dose to the sample. The UV lamp was inserted into a hollow quartz pipe located at the center of the reactor. The solution was stirred with a magnet during irradiation. The  $O_3$  and  $O_3$ -UV samples were stored at 4°C for not >24 h before the chlorination experiments. The experimental matrix encompassed

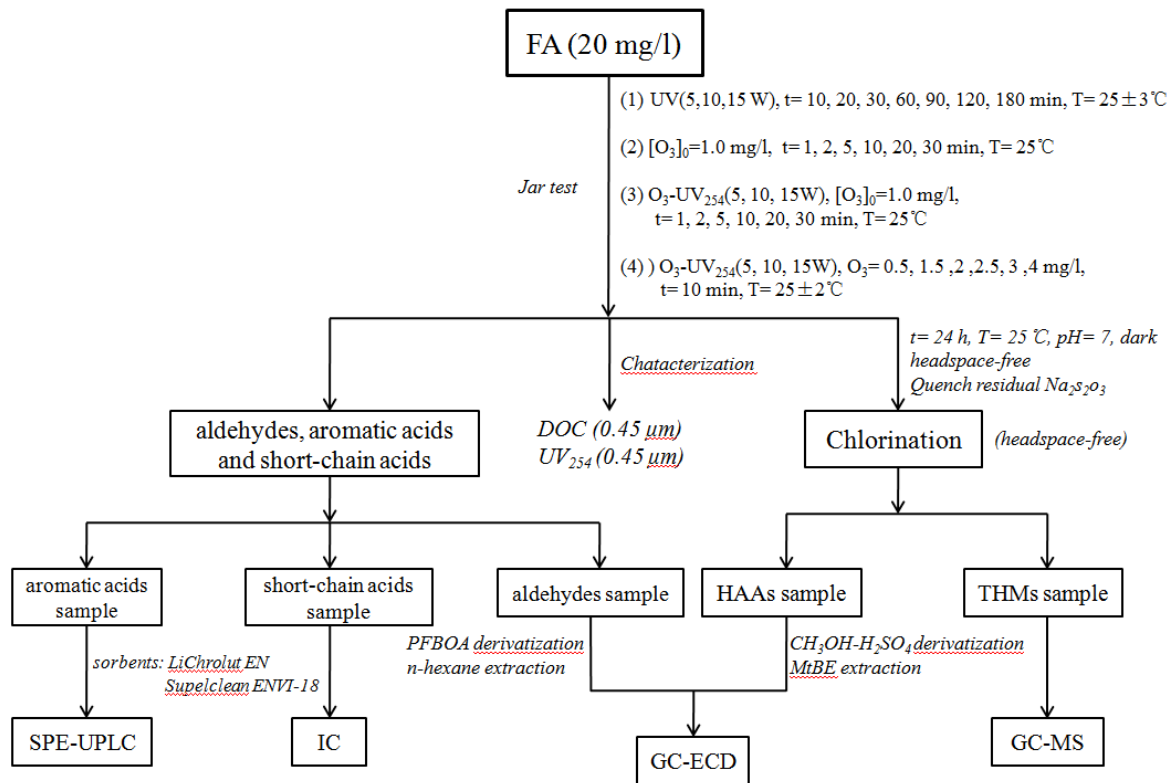


Fig. 1. The experimental schematic.

the following ranges of conditions: (1) UV alone, UV intensity: 5 W, 10 W and 15 W, irradiation time: 10, 20, 30, 60, 90, 120 and 180 min; (2) O<sub>3</sub> alone, [O<sub>3</sub>]<sub>0</sub> = 1.0 mg/L, contact time: 1, 2, 5, 10, 20 and 30 min; (3) O<sub>3</sub>-UV<sub>254</sub>, UV intensity: 5 W, 10 W and 15 W, irradiation time: 1, 2, 5, 10, 20 and 30 min, [O<sub>3</sub>]<sub>0</sub> = 1.0 mg/L and (4) O<sub>3</sub>-UV<sub>254</sub>, UV intensity: 5 W, 10 W and 15 W, [O<sub>3</sub>]<sub>0</sub> = 0.5–4 mg/L, irradiation time: 10 min.

All samples were filtered with a 0.45 μm membrane to remove particles. Dissolved organic carbon (DOC) concentration and UV absorbance at 254 nm (UV<sub>254</sub>) were analyzed.

Chlorination was conducted on ozonated samples using 100 mL chlorine-free bottles. Cl<sub>2</sub> doses were chosen to ensure that a substantial Cl<sub>2</sub> residual was present after incubation for 24 h so that formation reactions would not be chlorine limited. After being dosed with chlorine, samples were stored headspace-free at pH of 7, 25 °C ± 1 °C in the dark for 24 h. Sodium thiosulfate was used to quench the residual chlorine.

### 2.3. Analytical methods

DOC concentrations were measured using a TOC analyzer (OI, Aurora1030) according to Standard Method 5310 [21]. UV<sub>254</sub> was measured by a UV-visible spectrophotometer (Shimadzu, UV-1800).

The EPA 556 method and the EPA 552.2 method were individually used to the analysis of aldehydes and HAAs in water samples (EPA, 1998 and EPA, 2003). Aldehydes and HAAs were analyzed by 7890B gas chromatograph fitted with electron capture detector (Agilent Technologies, Palo Alto, USA).

The DB-5MS capillary column (30 m × 0.25 mm inner diameter × 0.25 μm film thickness; Agilent Technologies, Bellefonte, PA, USA) was applied for the separation. The carrier gas was He (1 mL/min) and the detector make-up gas was N<sub>2</sub> (30 mL/min). The aldehydes temperature program was: 50 °C hold for 1 min, program at 4 °C/min up to 220 °C, program at 20 °C/min up to 250 °C and hold at 250 °C for 10 min. The HAAs temperature program was: 35 °C hold for 10 min, program at 2 °C/min up to 40 °C, program at 5 °C/min up to 75 °C and hold at 75 °C for 15 min, program at 40 °C/min up to 100 °C and hold at 100 °C for 15 min and program at 40 °C/min up to 135 °C.

According to the purge and trap gas chromatographic method, chloroform was measured using 4660-7890B-5077A gas chromatograph (Agilent Technologies, Palo Alto, USA) equipped with mass spectrometer. The operating conditions were: magnetic mass analyzer scanned from 35 to 200 m/z, 70 eV electron energy, ion source 250 °C; carrier gas: He (1 mL/min), temperature program: 30 °C hold for 10 min, program at 7 °C/min up to 72 °C and hold at 72 °C for 1 min and program at 40 °C/min up to 220 °C and hold at 220 °C for 1 min.

The three aromatic organic acids and one aliphatic carboxylic acid (fumaric, protocatechuic, 3-hydroxybenzoic and benzoic acids) were analyzed by SPE-UPLC [22]. The SPE system (Anpel, Shanghai, China) was assembled from a GAST pump (MI, USA). The sorbent column was conditioned with 1 mL acetonitrile-methanol (1:1) and 1 mL purified water. Chromatographic analyses were carried on a Waters H-class ultra-high performance liquid chromatography (Waters, USA). The column was an ACQUITY UPLC

BEH C<sub>18</sub> (2.1 × 50 mm I.D., particle size 1.7 μm, Waters, USA). A PHS-2C pH meter (Shanghai, China) was used to adjust the pH value. In brief, 100 mL standard solution or water sample with concentrations aromatic acids at pH ~1.3 (adjusted with 5M HCl) was passed through the sorbent column at 2–3 mL/min. The sorbent column was filled with 80 mg of the mixture LiChrolut EN/Supelclean ENVI-18 (1:1) sorbents. Later, target analytes were eluted by 1 mL methanol and collected in a 2 mL amber glass GC vial.

The short-chain carboxylic acids were analyzed by ICS-2100 Ion chromatography (IC, Thermo Scientific, USA) equipped with a Dionex IonPac AS-19 capillary column (0.4 mm × 250 mm) and a Dionex IonPac AS-19 guard column (0.4 mm × 50 mm). The mobile phase was produced by a Dionex RFIC-EG eluent generator at flow of 10 μL/min with following concentrations: 0–10 min 10 mM KOH, 10–42 min linear ramp to 52 mM KOH, 42–45 min linear ramp to 70 mM KOH and 45–50 min 10 mM KOH.

#### 2.4. Quality control

Calibration curves prepared for each compound were linear ( $R^2 > 0.980$ ). The method of aldehydes detection limits varied from 0.2 to 4.0 μg/L. The method of eight carboxylic acids detection limits varied from 0.8 to 5.0 μg/L. The recovery rates of each compound were >80%. Experiments were carried out in duplicate. Relative standard deviation of the two measurements was generally below 15%. The SPSS software (IBM SPSS, version 17) was used for statistical analysis of data.

### 3. Results and discussion

#### 3.1. UV irradiation alone

Experiments including UV irradiation (5 W, 10 W and 15 W) high concentration of FA aqueous solution (20 mg/L) were carried out to determine the effect on DOC and UV<sub>254</sub>. The changes of DOC and UV<sub>254</sub> at different UV irradiation time and light intensity were shown in Fig. 2.

Under high concentration FA solution, DOC had a certain increase and UV<sub>254</sub> had a slight decline, due to UV light irradiation. Besides, DOC increase and UV<sub>254</sub> reduction along with irradiation time and light intensity, respectively. The result of DOC increase could be attributed to UV irradiation made FA break down into smaller molecules which were more hydrophilic, and the water solubility of FA improved [23,24].

UV<sub>254</sub> was an important parameter for characterization of compounds with aromatic structure and unsaturated conjugated double bonds. Humic substances strongly absorbed UV radiation. Due to the long time and high intensity of UV irradiation, contributing to the destruction of unsaturated conjugated double bonds in the structure of FA, which lead to UV<sub>254</sub> a slight decrease. The olefinic structure of the DOM is probably more readily degraded by photolysis than aromatic structure, since aromatic structure generally has a high chemical stability [25].

Humic substances are decomposed to lower molecular size during UV irradiation [25–27]. The photochemical reactions taking place in FA solution are not only complicated but also contribute to generating many low-molecular-weight compounds at the same time during UV irradiation. The formation

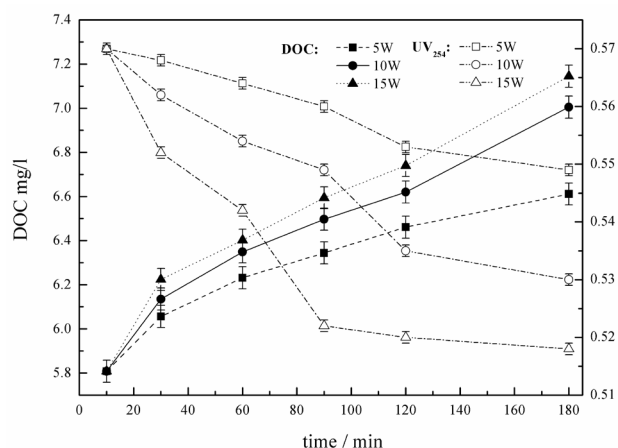


Fig. 2. The changes of DOC, UV<sub>254</sub> at different irradiation time and UV light intensity (initial FA = 20 mg/L).

of intermediates by UV at different irradiation time and light intensity was displayed in Figs. 3(a)–(f). Formaldehyde, acetaldehyde, propionaldehyde, butyraldehyde, glyoxal, methylglyoxal, formic, fumaric and benzoic acid were predominant oxidation intermediates, with formaldehyde and formic acid exhibiting the highest concentration (Figs. 3(a)–(f)). The yields of aldehydes and carboxylic acids increased steadily with UV irradiation time. Furthermore, propionaldehyde and butyraldehyde generated after UV irradiation 90 min later. With the light intensity increased, the generation of carbonyl compounds improved, especially for carboxylic acids. It should be pointed out that fumaric and benzoic acids were the major degradation products. This result is in accordance with Corin et al. [25]. Moreover, with the exception of irradiation time and light intensity, the production of aldehydes and carboxylic acids were associated with temperature. With the increase of irradiation time, the solution temperature increased, and FA decomposition was accelerated, thus the yields of aldehydes and carboxylic acids were enhanced. These aldehydes and carboxylic acids constituted a major part of newly formed assimilable organic carbon (AOC).

#### 3.2. O<sub>3</sub> alone

The changes of DOC, UV<sub>254</sub> and the generation of oxidation intermediates at different O<sub>3</sub> (1.0 mg/L) contact time were shown in Figs. 4 and 5(a) and (b), respectively. DOC and UV<sub>254</sub> gradually decreased with contact time. Although O<sub>3</sub> is a strong oxidizer with selectivity, it cannot mineralize organics in a certain time [28].

The oxidation intermediates yields in FA ozonation with O<sub>3</sub> contact time ranging from 0 to 30 min. The low-molecular-weight aldehydes, carboxylic acids and aromatic acids were detected, including formaldehyde, glyoxal, methylglyoxal, formic, fumaric, protocatechuic, benzoic and 3-hydroxybenzoic acids. This result was consistent with previous studies, where O<sub>3</sub> react with NOM, resulting in monocarboxylic, dicarboxylic and aromatic acids generation [29,30]. In addition, this discovery was in accordance with our previous findings that aldehydes and carboxylic acids

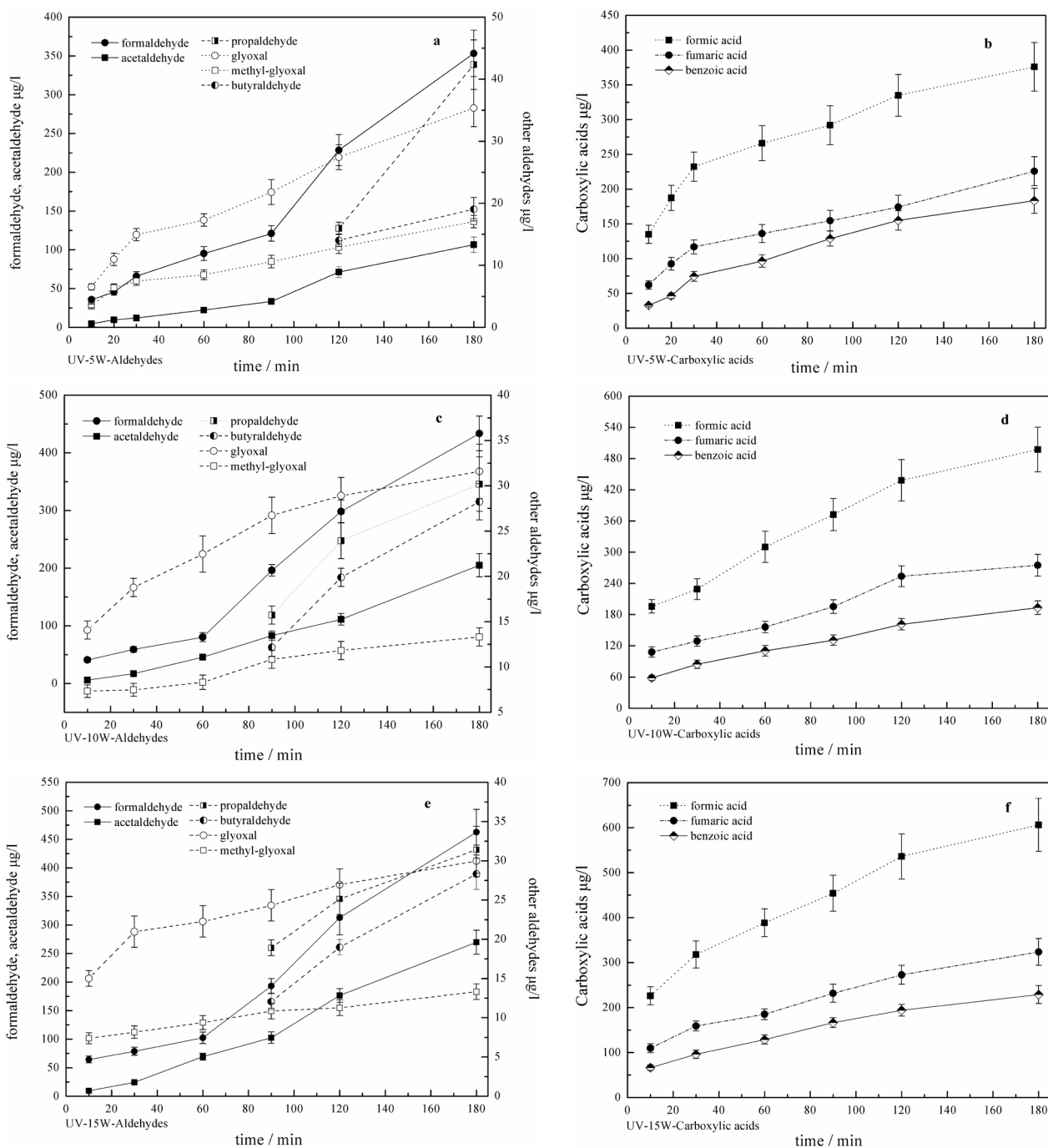


Fig. 3. Oxidation intermediates formation by UV at different irradiation time and light intensity (initial FA = 20 mg/L). (a) UV-5W-Aldehydes; (b) UV-5W-Carboxylic acids; (c) UV-10W-Aldehydes; (d) UV-10W-Carboxylic acids; (e) UV-15W-Aldehydes and (f) UV-15W-Carboxylic acids.

were ubiquitous in raw water of Taihu Lake as well as the each process unit of DWTPs.

The yields of aldehydes and carboxylic acids increased steadily along with time, except for hydroxybenzoic acid (protocatechuic and 3-hydroxybenzoic acids). First, aldehydes are easily oxidized to corresponding carboxylic acids or other organic acids by  $O_3$  or  $\bullet OH$  radical [15,31]. Second, ozonation cleaves unsaturated bonds, opens aromatic rings,

and removes or oxidizes alkyl groups to aldehydes and carboxylic acids. Lastly, aldehydes oxidation rates were typically lower than their formation, as well as short-chain carboxylic acids were relatively inert to  $O_3$ , so they would be accumulated in  $O_3$  treated water.

It should be noted that protocatechuic and 3-hydroxybenzoic acids initially formed around 65 and 10 µg/L, respectively. Subsequently, the levels of their concentration declined

rapidly. This was possible because that  $O_3$  is a strong oxidizer with selectivity. Previous studies suggested that  $O_3$  direct oxidation was effective for degradation of organic compounds bearing active groups, such as  $-OH$ ,  $-NH_2$  and double bond [32,33]. The existence of active groups was beneficial for  $O_3$  to

electrophilic attacking aromatic ring. Some hydroxybenzoic acids initially formed when  $O_3$  reacted with NOM, and then decreased over time, indicating that they were further oxidized [34]. Besides, our pervious finding that the order of the reactivity of  $O_3$  with aromatic acids was protocatechuic acid > 3-hydroxybenzoic acid > benzoic acid > phthalic acid.

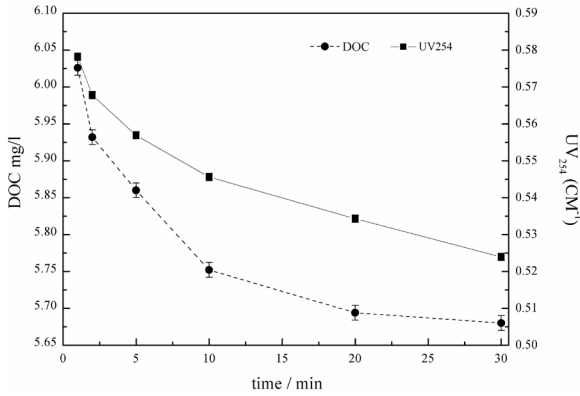


Fig. 4. The changes of DOC,  $UV_{254}$  at different  $O_3$  contact time ( $[O_3]_0 = 1.0 \text{ mg/L}$ , initial FA = 20 mg/L).

### 3.3. $O_3$ -UV

#### 3.3.1. Different $O_3$ contact time

Compared with UV irradiation and  $O_3$  oxidation, DOC significantly decreased due to the synergistic effect of  $O_3$ -UV. The higher light intensity, the faster degradation rate. DOC remained stable, after the reaction of 10 min (Fig. 6(a)). For  $O_3$ -UV system, there was no need to react >10 min. It should be pointed out that  $UV_{254}$  immediately dropped down to 0.521  $cm^{-1}$  and stabilized at 0.516  $cm^{-1}$  thereafter (not shown in Fig. 6(a)). Besides, DOC and  $UV_{254}$  gradually decline with  $O_3$  dosage, indicating that  $O_3$ -UV system had a stronger potential of mineralization and lower selectivity [6].

The processes of  $O_3$  decomposition and  $\bullet OH$  radical generation from  $O_3$ -UV system can be divided into two stages.

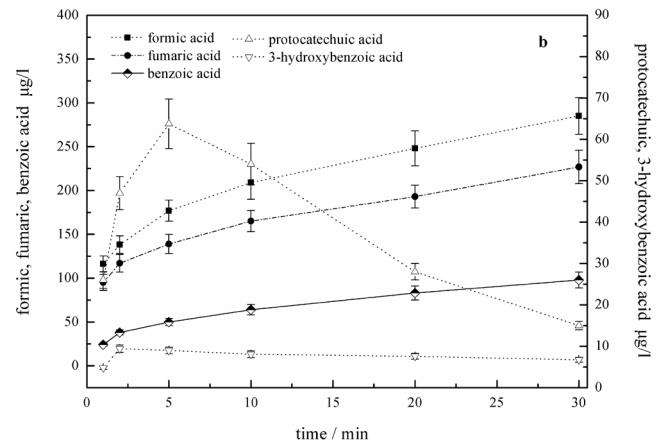
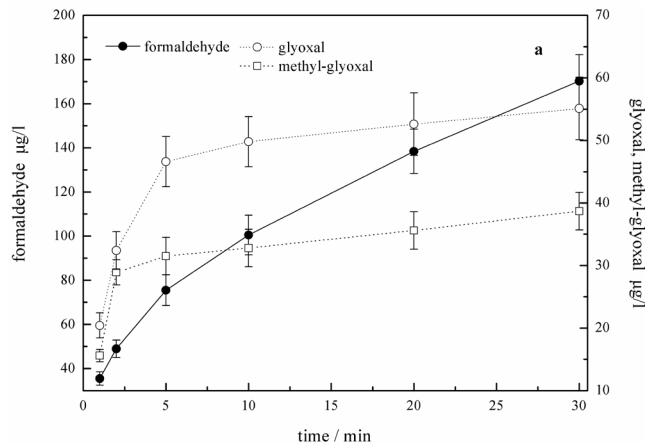


Fig. 5 Oxidation intermediates formation disinfected by  $O_3$  alone at different contact time ( $[O_3]_0 = 1.0 \text{ mg/L}$ , initial FA = 20 mg/L). (a) Aldehydes and (b) carboxylic acids.

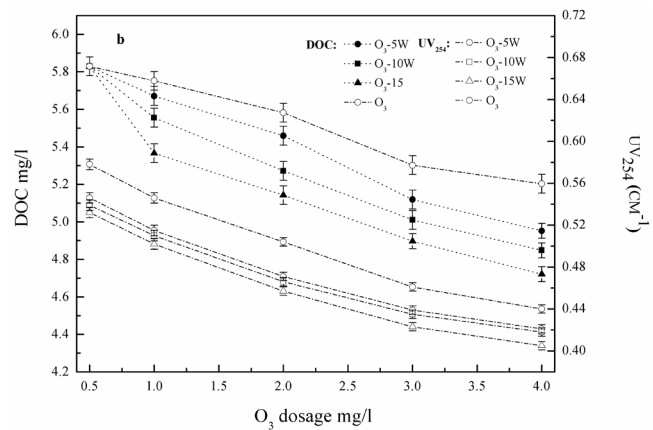
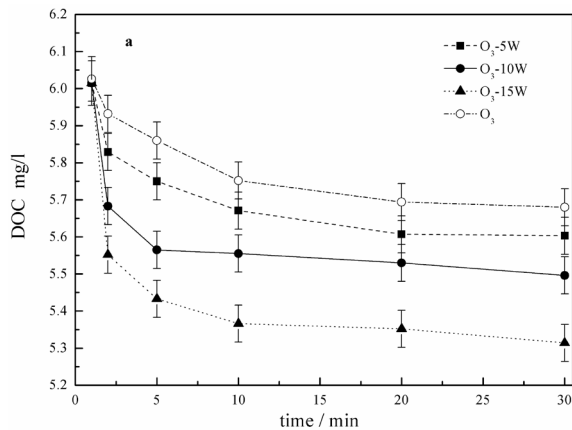


Fig. 6. The changes of DOC,  $UV_{254}$  with light intensity increasing at different  $O_3$  contact time and  $O_3$  dosage. (a) Initial FA = 20 mg/L,  $[O_3]_0 = 1.0 \text{ mg/L}$ ,  $t = 1, 2, 5, 10, 20$  and 30 min; (b)  $[O_3]_0 = 0.5\text{--}4.0 \text{ mg/L}$ ,  $t = 10 \text{ min}$ .

The first stage is that  $H_2O_2$  formation from  $O_3$  photolysis, and then  $H_2O_2$  decomposes to  $\bullet OH$  radical by UV irradiation. The second stage is that not only  $\bullet OH$  radical joins into the cycle of radical reactions, but also NOM participates in the reaction cycle and produces  $O_2^-$  that is a strong catalysis for  $O_3$  decomposition. Subsequently,  $\bullet OH$  radical is mainly generated from  $O_3$  decomposition by  $O_2^-$  catalysis [35]. Therefore,  $O_3$ -UV system had a higher concentration level of  $\bullet OH$  radical than UV,  $O_3$  alone, leading to rapidly decrease in DOC and  $UV_{254}$ .

Compared with UV irradiation and  $O_3$  oxidation, the kinds and concentration levels of aldehydes and carboxylic acids were obviously reduced. Furthermore, the concentration levels of aldehydes and carboxylic acids decreased with increase in light intensity, except that formic and fumaric acids had a certain increase at 15W-UV irradiation (Figs. 7(a)–(f)). At high  $O_3$  dosage, aldehydes concentration slowly increased, while fumaric and benzoic acids concentration gradually decreased (Figs. 8(a)–(f)). Due to the

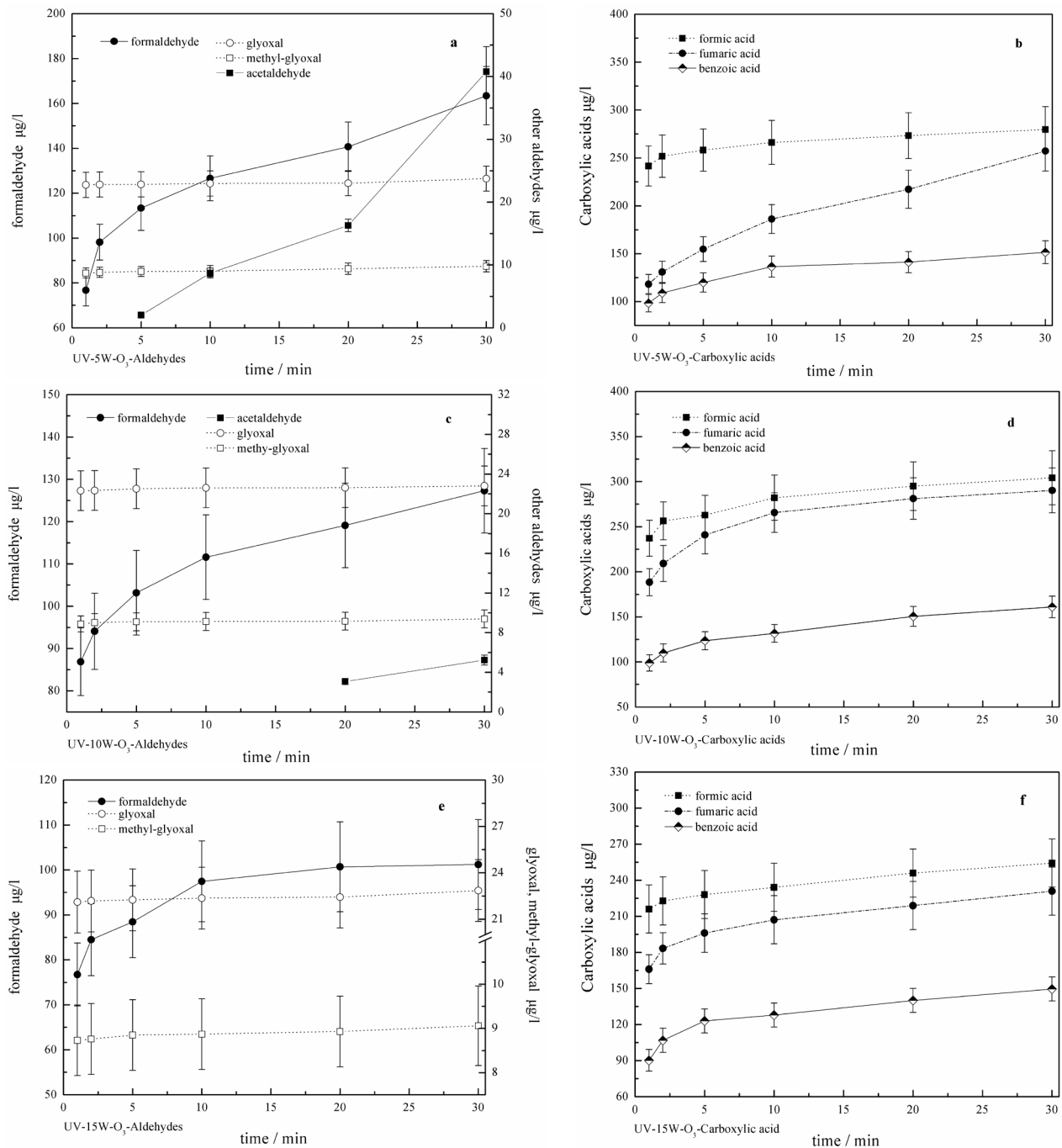


Fig. 7. Oxidation intermediates formation disinfected by  $O_3$ -UV at different contact time and light intensity (initial FA = 20 mg/L,  $[O_3]_0 = 1.0$  mg/L,  $t = 1, 2, 5, 10, 20$  and 30 min). (a) UV-5W- $O_3$ -Aldehydes; (b) UV-5W- $O_3$ -Carboxylic acids; (c) UV-10W- $O_3$ -Aldehydes; (d) UV-10W- $O_3$ -Carboxylic acids; (e) UV-15W- $O_3$ -Aldehydes and (f) UV-15W- $O_3$ -Carboxylic acids.

fact that the high concentration level of  $\bullet\text{OH}$  radical made UV- $\text{O}_3$  system has higher oxidability and lower selectivity.  $\bullet\text{OH}$  radical could mineralize some organics which could not be mineralized by  $\text{O}_3$ . Normally, the synergistic effect of  $\text{O}_3$ -UV system has two reasons: first, organics were activated by UV irradiation, which could be easily decomposed by  $\text{O}_3$ ; second,  $\text{O}_3$  decomposed into  $\bullet\text{OH}$  radical by

UV irradiation, thereby accelerating the removal rate of organics in water [6].

It was important to note that 3-hydroxybenzoic acid was detected and the concentration of it ranged from 6.2 to 23.55  $\mu\text{g}/\text{l}$ , and then disappear soon (Figs. 8(a) and (b)). It was difficult to be detected. Because 3-hydroxybenzoic acid could be further oxidized by excess  $\text{O}_3$  or  $\bullet\text{OH}$ . Pillar et al. [36],

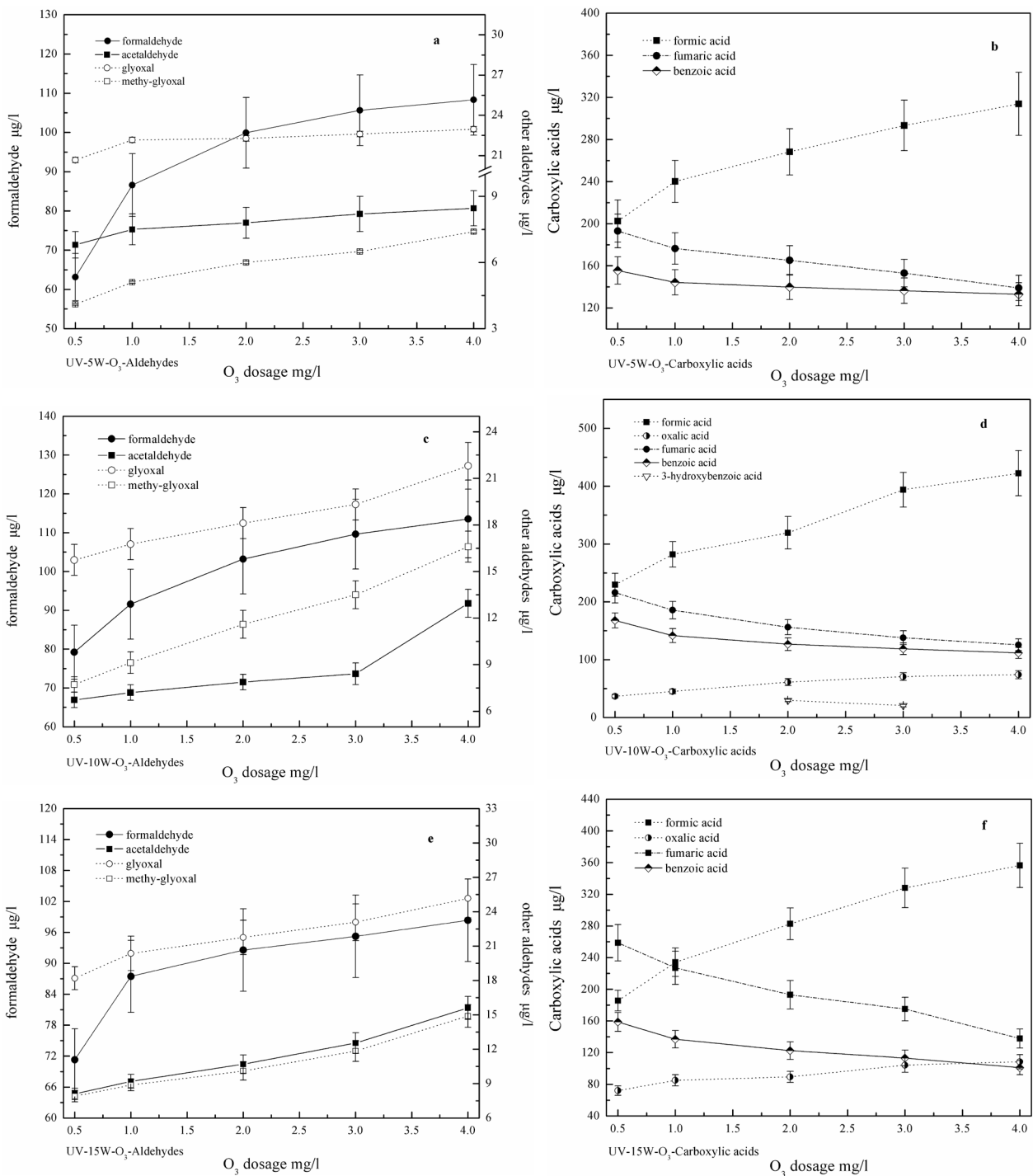


Fig. 8. Oxidation intermediates formation disinfected by  $\text{O}_3$ -UV at different  $\text{O}_3$  dosage and light intensity (initial FA = 20 mg/L,  $[\text{O}_3]_0 = 0.5\text{--}4.0$  mg/L,  $t = 10$  min). (a) UV-5W- $\text{O}_3$ -Aldehydes; (b) UV-5W- $\text{O}_3$ -Carboxylic acids; (c) UV-10W- $\text{O}_3$ -Aldehydes; (d) UV-10W- $\text{O}_3$ -Carboxylic acids; (e) UV-15W- $\text{O}_3$ -Aldehydes and (f) UV-15W- $\text{O}_3$ -Carboxylic acids.



also reported that the reaction rate constants of hydroxybenzoic acids with  $O_3$  and  $\bullet OH$  were  $5.2 \times 10^5 M^{-1} S^{-1}$  and  $1.1 \times 10^{10} M^{-1} S^{-1}$ , respectively. It can be deduced that 3-hydroxybenzoic and benzoic acids were the main oxidation transformation products, and the formation of formic, oxalic and fumaric acids may be the further oxidation products of 3-hydroxybenzoic and benzoic acids.

In order to remove precursors and avoid chlorination-DBPs formation, AOPs were adopted. However, carbonyl compounds as an important kind of newly formed AOC, which are a significant part of organic DBPs in the DWTPs [29,37,38]. Ordinarily, compared with precursors, the short-chain aldehydes and carboxylic acids are easier biodegradable, and they have been proved to be related with bacterial regrowth and biofilm formation in water distribution systems. Therefore, it is necessary to understand the sources and the amount of carbonyl compounds with different disinfectant.

### 3.4. DBPs formation

FA was oxidized by UV,  $O_3$  and  $O_3$ -UV system, resulting in the formation of more polar organic compounds, which is reflected through the increases in the hydrophilic non-acidic and hydrophilic acid fractions. Carbonyl compounds are considered to be primary by-products.

As shown in Figs. 9(a) and (b), direct chlorination disinfection and UV (60 min),  $O_3$ -UV ( $[O_3]_0 = 1.0 \text{ mg/L}$ ,  $t = 10 \text{ min}$ ) chlorination disinfection generated a number of chloroform, dichloroacetic acid (DCAA) and trichloroacetic acid (TCAA), chlorination condition: pH of 7,  $25^\circ C \pm 1^\circ C$  in the dark for 24 h. Compared with UV alone, FA and oxidation intermediates could be mineralized in a short time, due to  $O_3$  addition. Although UV- $O_3$  system reduced DBPs generation to a certain extent, they could not be ultimately eliminated. Therefore, not only NOM were the main DBPs precursors, the oxidation intermediates of NOM but also could be the DBPs precursors, and they gave a certain amount of DBPs. Our previous study also showed that FA was ubiquitous presence in the terrestrial environment, and it generated aromatic acids and low-molecular-weight carbonyl compounds during oxidation [20]. Consequently,

aromatic acids and carbonyl compounds should be under control in DWTPs.

$O_3$  and OH radical oxidize NOM generate great amounts of methylketone-like structures which are regarded as major precursors of THMs. Besides, it was generally known that the aromatic content of NOM was oxidized to produce a series of aliphatic carbonyl compounds, such as aldehydes, carboxylic acids and ketones [39]. These carboxylic acids or compounds could readily be converted to  $\beta$ -ketoacids by decarboxylation in the presence of hypochlorous acid, and gave high yields of THMs when chlorinated in near neutral solutions. As far as the DCAA formation was concerned, a previous study demonstrated that during chlorination the phenolic hydroxyl group is likely to form TCAA, whereas carboxyl and alcoholic hydroxyl groups were prone to form DCAA [40].

## 4. Conclusions

This work determined the species and the yields of the intermediates during UV,  $O_3$  and UV- $O_3$  oxidation of FA, as well as DBPs formation. The obtained conclusions were as follows:

- In UV system, DOC had a certain increase and  $UV_{254}$  had a slight decline. Due to UV irradiation, FA broke down into smaller molecules which were more hydrophilic. Meanwhile, unsaturated conjugated double bonds in the structure of FA were destroyed, which lead to  $UV_{254}$  a slight decrease. Formaldehyde, acetaldehyde, propionaldehyde, butyraldehyde, glyoxal, methylglyoxal, formic, fumaric and benzoic acids were predominant oxidation intermediates. The yields of aldehydes and carboxylic acids increased steadily with UV irradiation time and light intensity.
- In  $O_3$  system, DOC and  $UV_{254}$  gradually decreased with time. In addition, the intermediates described above, protocatechuic and 3-hydroxybenzoic acids were also generated. They were initially formed, and then declined rapidly.
- In  $O_3$ -UV system, DOC and  $UV_{254}$  significantly decreased and remained stable after the reaction of 10 min, due to the synergistic effect of  $O_3$ -UV. It was indicated that  $O_3$ -UV system had a stronger potential of mineralization and

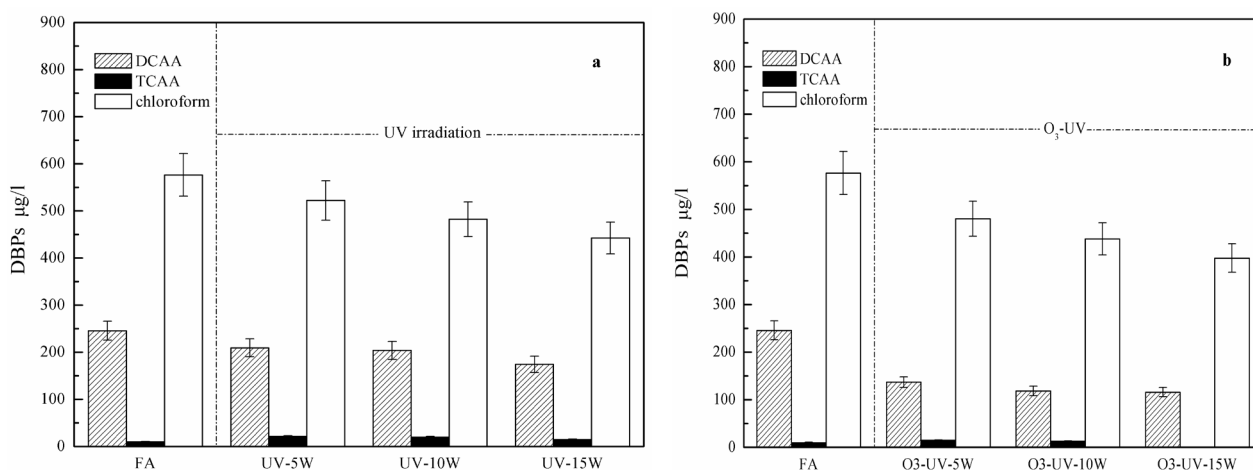


Fig. 9. DBPs formation from UV disinfectant and  $O_3$ -UV disinfectant.

lower selectivity. The kinds and concentration levels of the intermediates were obviously reduced with increase in light intensity. While at high O<sub>3</sub> dosage, the concentrations of fumaric and benzoic acids gradually decreased, which were relatively inert to O<sub>3</sub>. Due to the fact that the high concentration level of •OH radical that could mineralize some organics which could not be mineralized by O<sub>3</sub>.

- Although O<sub>3</sub>-UV system reduced DBPs generation to a certain extent, they could not be ultimately eliminated. Thus, not only NOM were the main DBPs precursors, the oxidation intermediates of NOM but also could be the DBPs precursors, and they gave a certain amount of DBPs.

Although the full-scale applications of AOPs treatment in DWTPs are still very limited, mainly due to high cost, lack of experience, requirement of high degree of pre-treatment and operational difficulties, the most AOPs studies concerning drinking water purification and disinfection gained very promising results. Based on the above experimental results, AOPs can be used as a pre-treatment to enhance the biodegradability of NOM, and the resulting intermediates are easily degradable in a further biological treatment.

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